



# Convolutional Neural Networks (CNN)

Alejandro Veloz

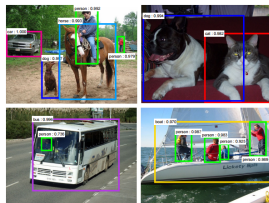
# Used everywhere for Vision



[Krizhevsky 2012]



[Ciresan et al. 2013]



[Faster R-CNN - Ren 2015]



[NVIDIA dev blog]

# **Many other applications**

**Speech recognition & speech synthesis**

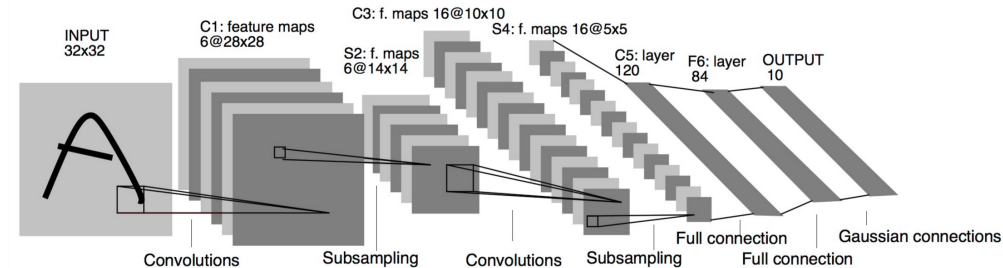
**Natural Language Processing**

**Protein/DNA binding prediction**

**Any problem with a spatial (or sequential) structure**

# ConvNets for image classification

CNN = Convolutional Neural Networks = ConvNet



LeCun, Y., Bottou, L., Bengio, Y., and Haffner, P. (1998). Gradient-based learning applied to document recognition.



# Outline

**Convolutions**

**CNNs for Image Classification**

**CNN Architectures**

# Convolutions

# Motivations: Standard Dense Layer for an image input

```
x = Input((640, 480, 3), dtype='float32')
# shape of x is: (None, 640, 480, 3)
x = Flatten()(x)
# shape of x is: (None, 640 x 480 x 3)
z = Dense(1000)(x)
```

How many parameters in the Dense layer?

$$640 \times 480 \times 3 \times 1000 + 1000 = 922M!$$

Spatial organization of the input is destroyed by Flatten

We never use Dense layers directly on large images. Most standard solution is **convolution** layers

## Fully Connected Network: MLP

```
input_image = Input(shape=(28, 28, 1))
x = Flatten()(input_image)
x = Dense(256, activation='relu')(x)
x = Dense(10, activation='softmax')(x)
mlp = Model(inputs=input_image, outputs=x)
```

## Convolutional Network

```
input_image = Input(shape=(28, 28, 1))
*x = Conv2D(32, 5, activation='relu')(input_image)
*x = MaxPool2D(2, strides=2)(x)
*x = Conv2D(64, 3, activation='relu')(x)
*x = MaxPool2D(2, strides=2)(x)
x = Flatten()(x)
x = Dense(256, activation='relu')(x)
x = Dense(10, activation='softmax')(x)
convnet = Model(inputs=input_image, outputs=x)
```

2D spatial organization of features preserved until 'Flatten'.

# Convolution in a neural network

|                |                |                |   |   |
|----------------|----------------|----------------|---|---|
| 3 <sub>0</sub> | 3 <sub>1</sub> | 2 <sub>2</sub> | 1 | 0 |
| 0 <sub>2</sub> | 0 <sub>2</sub> | 1 <sub>0</sub> | 3 | 1 |
| 3 <sub>0</sub> | 1 <sub>1</sub> | 2 <sub>2</sub> | 2 | 3 |
| 2              | 0              | 0              | 2 | 2 |
| 2              | 0              | 0              | 0 | 1 |

|      |      |      |
|------|------|------|
| 12.0 | 12.0 | 17.0 |
| 10.0 | 17.0 | 19.0 |
| 9.0  | 6.0  | 14.0 |

- $x$  is a  $3 \times 3$  chunk (dark area) of the image (*blue array*)
- Each output neuron is parametrized with the  $3 \times 3$  weight matrix  $w$  (*small numbers*)

[https://github.com/vdumoulin/conv\\_arithmetic](https://github.com/vdumoulin/conv_arithmetic)

# Convolution in a neural network

|       |       |       |   |   |
|-------|-------|-------|---|---|
| $3_0$ | $3_1$ | $2_2$ | 1 | 0 |
| $0_2$ | $0_2$ | $1_0$ | 3 | 1 |
| $3_0$ | $1_1$ | $2_2$ | 2 | 3 |
| 2     | 0     | 0     | 2 | 2 |
| 2     | 0     | 0     | 0 | 1 |

|      |      |      |
|------|------|------|
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The activation obtained by sliding the  $3 \times 3$  window and computing:

$$z(x) = \text{relu}(\mathbf{w}^T x + b)$$

# Convolution in a neural network

|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | $3_0$ | $2_1$ | $1_2$ | 0 |
| 0 | $0_2$ | $1_2$ | $3_0$ | 1 |
| 3 | $1_0$ | $2_1$ | $2_2$ | 3 |
| 2 | 0     | 0     | 2     | 2 |
| 2 | 0     | 0     | 0     | 1 |

|      |      |      |
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# Convolution in a neural network

|   |   |                |                |                |
|---|---|----------------|----------------|----------------|
| 3 | 3 | 2 <sub>0</sub> | 1 <sub>1</sub> | 0 <sub>2</sub> |
| 0 | 0 | 1 <sub>2</sub> | 3 <sub>2</sub> | 1 <sub>0</sub> |
| 3 | 1 | 2 <sub>0</sub> | 2 <sub>1</sub> | 3 <sub>2</sub> |
| 2 | 0 | 0              | 2              | 2              |
| 2 | 0 | 0              | 0              | 1              |

|      |      |      |
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|       |       |       |   |   |
|-------|-------|-------|---|---|
| 3     | 3     | 2     | 1 | 0 |
| $0_0$ | $0_1$ | $1_2$ | 3 | 1 |
| $3_2$ | $1_2$ | $2_0$ | 2 | 3 |
| $2_0$ | $0_1$ | $0_2$ | 2 | 2 |
| 2     | 0     | 0     | 0 | 1 |

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|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | 3     | 2     | 1     | 0 |
| 0 | $0_0$ | $1_1$ | $3_2$ | 1 |
| 3 | $1_2$ | $2_2$ | $2_0$ | 3 |
| 2 | $0_0$ | $0_1$ | $2_2$ | 2 |
| 2 | 0     | 0     | 0     | 1 |

|      |      |      |
|------|------|------|
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# Convolution in a neural network

|   |   |                |                |                |
|---|---|----------------|----------------|----------------|
| 3 | 3 | 2              | 1              | 0              |
| 0 | 0 | 1 <sub>0</sub> | 3 <sub>1</sub> | 1 <sub>2</sub> |
| 3 | 1 | 2 <sub>2</sub> | 2 <sub>2</sub> | 3 <sub>0</sub> |
| 2 | 0 | 0 <sub>0</sub> | 2 <sub>1</sub> | 2 <sub>2</sub> |
| 2 | 0 | 0              | 0              | 1              |

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|       |       |       |   |   |
|-------|-------|-------|---|---|
| 3     | 3     | 2     | 1 | 0 |
| 0     | 0     | 1     | 3 | 1 |
| $3_0$ | $1_1$ | $2_2$ | 2 | 3 |
| $2_2$ | $0_2$ | $0_0$ | 2 | 2 |
| $2_0$ | $0_1$ | $0_2$ | 0 | 1 |

|      |      |      |
|------|------|------|
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|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | 3     | 2     | 1     | 0 |
| 0 | 0     | 1     | 3     | 1 |
| 3 | $1_0$ | $2_1$ | $2_2$ | 3 |
| 2 | $0_2$ | $0_2$ | $2_0$ | 2 |
| 2 | $0_0$ | $0_1$ | $0_2$ | 1 |

|      |      |      |
|------|------|------|
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# Convolution in a neural network

|   |   |       |       |       |
|---|---|-------|-------|-------|
| 3 | 3 | 2     | 1     | 0     |
| 0 | 0 | 1     | 3     | 1     |
| 3 | 1 | $2_0$ | $2_1$ | $3_2$ |
| 2 | 0 | $0_2$ | $2_2$ | $2_0$ |
| 2 | 0 | $0_0$ | $0_1$ | $1_2$ |

|      |      |      |
|------|------|------|
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# Motivations

## Local connectivity

- A neuron depends only on a few local input neurons
- Translation invariance

## Comparison to Fully connected

- Parameter sharing: reduce overfitting
- Make use of spatial structure: **strong prior** for vision!

## Animal Vision Analogy

Hubel & Wiesel, RECEPTIVE FIELDS OF SINGLE NEURONS IN THE CAT'S STRIATE CORTEX (1959)

# Why Convolution

Discrete convolution (actually cross-correlation) between two functions  $f$  and  $g$ :

$$(f \star g)(x) = \sum_{a+b=x} f(a) g(b) = \sum_a f(a) g(x+a)$$

2D-convolutions (actually 2D cross-correlation):

$$(f \star g)(x, y) = \sum_n \sum_m f(n, m) g(x+n, y+m)$$

$f$  is a convolution **kernel** or **filter** applied to the 2-d map  $g$  (our image).



# Example: convolution image

- Image:  $im$  of dimensions  $5 \times 5$
- Kernel:  $k$  of dimensions  $3 \times 3$

$$(k \star im)(x, y) = \sum_{n=0}^2 \sum_{m=0}^2 k(n, m) im(x + n - 1, y + m - 1)$$

|       |       |       |   |   |
|-------|-------|-------|---|---|
| $3_0$ | $3_1$ | $2_2$ | 1 | 0 |
| $0_2$ | $0_2$ | $1_0$ | 3 | 1 |
| $3_0$ | $1_1$ | $2_2$ | 2 | 3 |
| 2     | 0     | 0     | 2 | 2 |
| 2     | 0     | 0     | 0 | 1 |

|      |      |      |
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|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | $3_0$ | $2_1$ | $1_2$ | 0 |
| 0 | $0_2$ | $1_2$ | $3_0$ | 1 |
| 3 | $1_0$ | $2_1$ | $2_2$ | 3 |
| 2 | 0     | 0     | 2     | 2 |
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|   |   |                |                |                |
|---|---|----------------|----------------|----------------|
| 3 | 3 | 2 <sub>0</sub> | 1 <sub>1</sub> | 0 <sub>2</sub> |
| 0 | 0 | 1 <sub>2</sub> | 3 <sub>2</sub> | 1 <sub>0</sub> |
| 3 | 1 | 2 <sub>0</sub> | 2 <sub>1</sub> | 3 <sub>2</sub> |
| 2 | 0 | 0              | 2              | 2              |
| 2 | 0 | 0              | 0              | 1              |

|      |      |      |
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|       |       |       |   |   |
|-------|-------|-------|---|---|
| 3     | 3     | 2     | 1 | 0 |
| $0_0$ | $0_1$ | $1_2$ | 3 | 1 |
| $3_2$ | $1_2$ | $2_0$ | 2 | 3 |
| $2_0$ | $0_1$ | $0_2$ | 2 | 2 |
| 2     | 0     | 0     | 0 | 1 |

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|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | 3     | 2     | 1     | 0 |
| 0 | $0_0$ | $1_1$ | $3_2$ | 1 |
| 3 | $1_2$ | $2_2$ | $2_0$ | 3 |
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- Image:  $im$  of dimensions  $5 \times 5$
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|   |   |                |                |                |
|---|---|----------------|----------------|----------------|
| 3 | 3 | 2              | 1              | 0              |
| 0 | 0 | 1 <sub>0</sub> | 3 <sub>1</sub> | 1 <sub>2</sub> |
| 3 | 1 | 2 <sub>2</sub> | 2 <sub>2</sub> | 3 <sub>0</sub> |
| 2 | 0 | 0 <sub>0</sub> | 2 <sub>1</sub> | 2 <sub>2</sub> |
| 2 | 0 | 0              | 0              | 1              |

|      |      |      |
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|       |       |       |   |   |
|-------|-------|-------|---|---|
| 3     | 3     | 2     | 1 | 0 |
| 0     | 0     | 1     | 3 | 1 |
| $3_0$ | $1_1$ | $2_2$ | 2 | 3 |
| $2_2$ | $0_2$ | $0_0$ | 2 | 2 |
| $2_0$ | $0_1$ | $0_2$ | 0 | 1 |

|      |      |      |
|------|------|------|
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|   |       |       |       |   |
|---|-------|-------|-------|---|
| 3 | 3     | 2     | 1     | 0 |
| 0 | 0     | 1     | 3     | 1 |
| 3 | $1_0$ | $2_1$ | $2_2$ | 3 |
| 2 | $0_2$ | $0_2$ | $2_0$ | 2 |
| 2 | $0_0$ | $0_1$ | $0_2$ | 1 |

|      |      |      |
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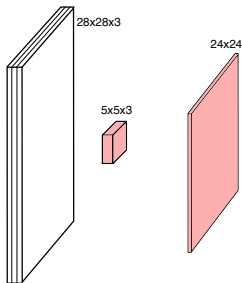
|   |   |       |       |       |
|---|---|-------|-------|-------|
| 3 | 3 | 2     | 1     | 0     |
| 0 | 0 | 1     | 3     | 1     |
| 3 | 1 | $2_0$ | $2_1$ | $3_2$ |
| 2 | 0 | $0_2$ | $2_2$ | $2_0$ |
| 2 | 0 | $0_0$ | $0_1$ | $1_2$ |

|      |      |      |
|------|------|------|
| 12.0 | 12.0 | 17.0 |
| 10.0 | 17.0 | 19.0 |
| 9.0  | 6.0  | 14.0 |

# Channels

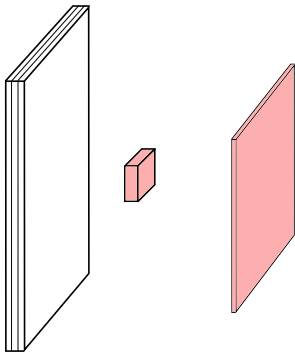
Colored image = tensor of shape (height, width, channels)

Convolutions are usually computed for each channel and summed:

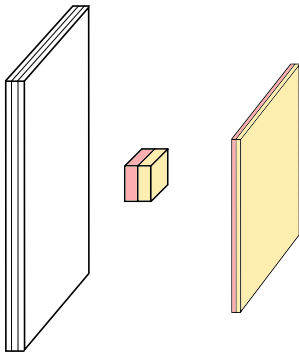


$$(k \star im^{color}) = \sum_{c=0}^2 k^c \star im^c$$

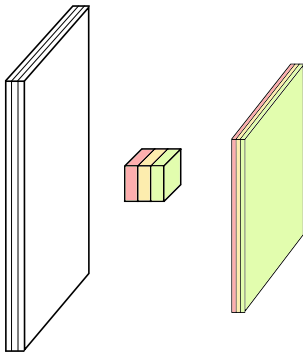
# Multiple convolutions



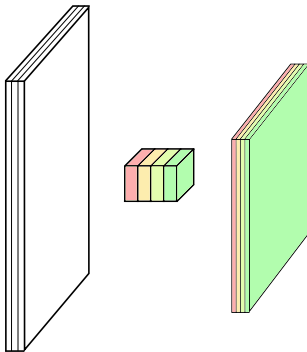
# Multiple convolutions



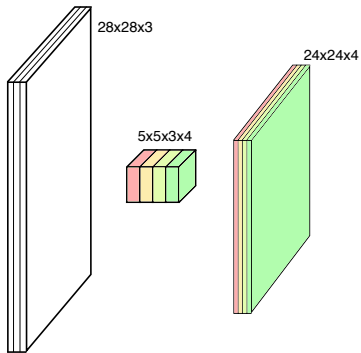
# Multiple convolutions



# Multiple convolutions



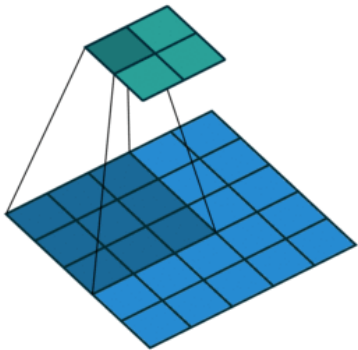
# Multiple convolutions



- Kernel size aka receptive field (usually 1, 3, 5, 7, 11)
- Output dimension:  $\text{length} - \text{kernel\_size} + 1$

# Strides

- Strides: increment step size for the convolution operator
- Reduces the size of the output map

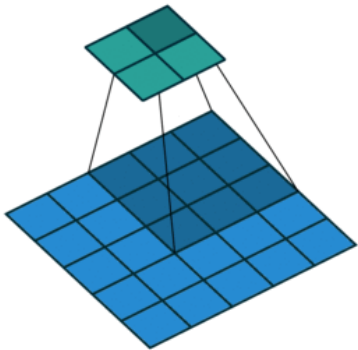


Example with kernel size  $3 \times 3$  and a stride of 2 (image in blue)



# Strides

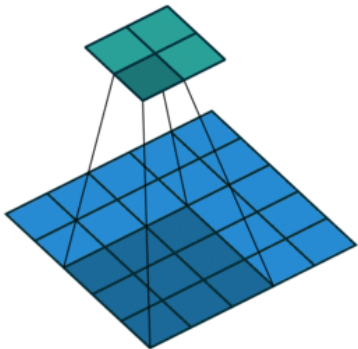
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# Strides

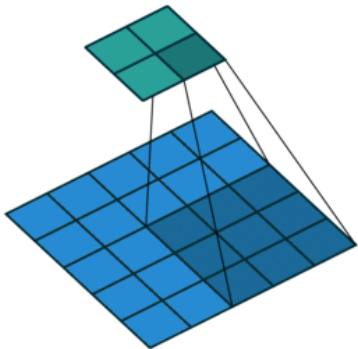
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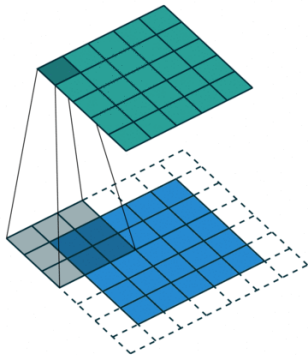
- Strides: increment step size for the convolution operator
- Reduces the size of the output map



Example with kernel size  $3 \times 3$  and a stride of 2 (image in blue)

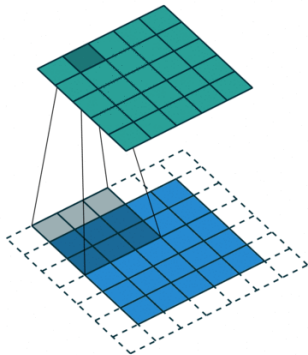
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
- Useful with strides and large receptive fields
- Usually: fill with 0s



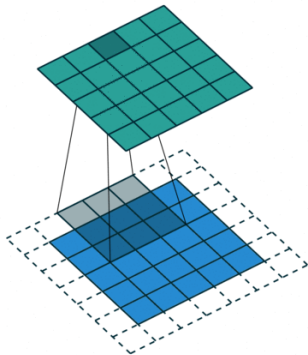
# Padding

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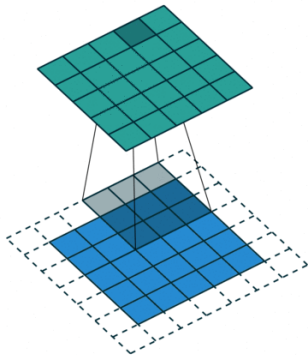
# Padding

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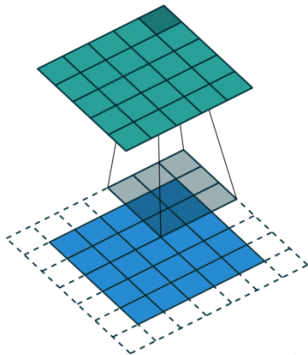
# Padding

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# Padding

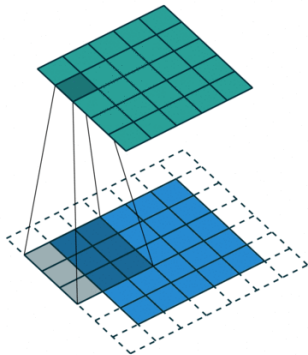
- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
- Useful with strides and large receptive fields
- Usually: fill with 0s





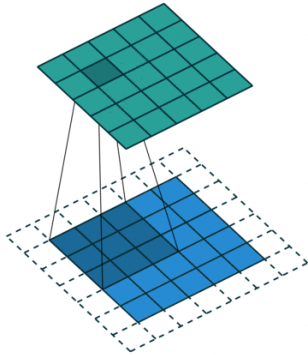
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- Padding: artificially fill borders of image
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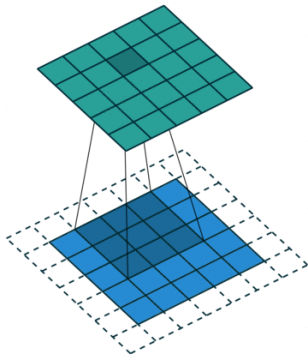
# Padding

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- Useful to keep spatial dimension constant across filters
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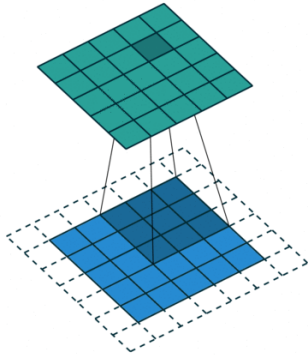
# Padding

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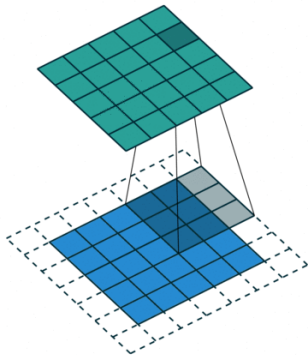
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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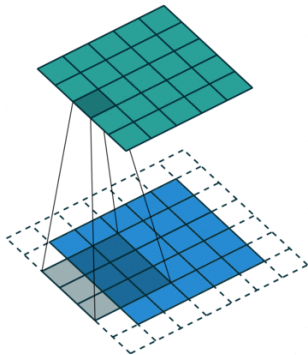
# Padding

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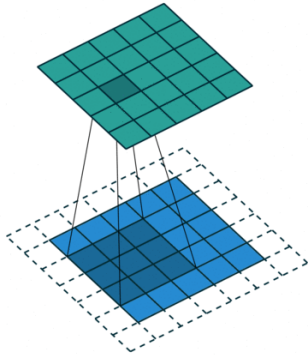
# Padding

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- Useful to keep spatial dimension constant across filters
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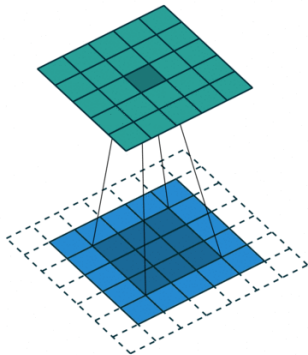
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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# Padding

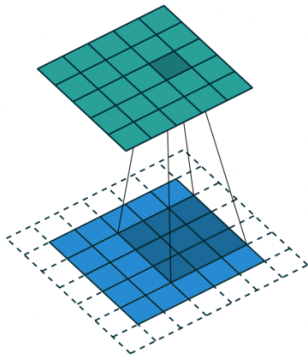
- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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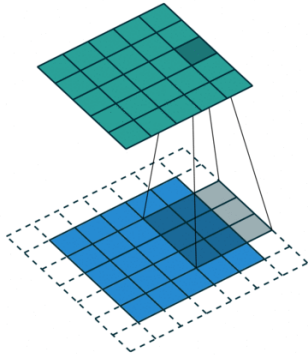
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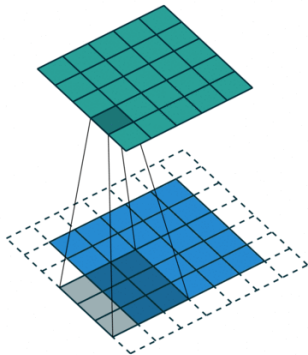
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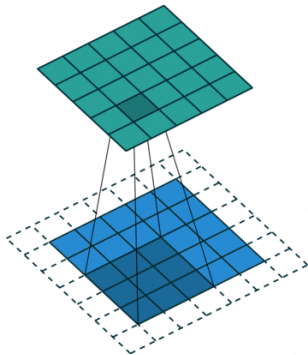
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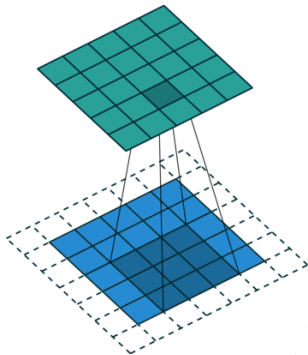
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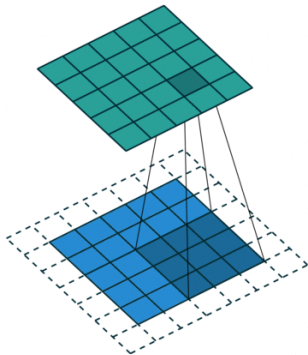
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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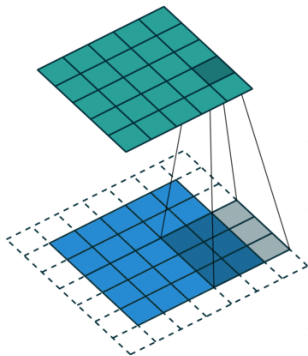
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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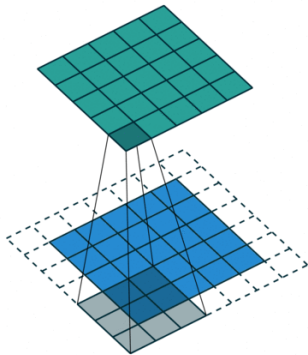
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
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# Padding

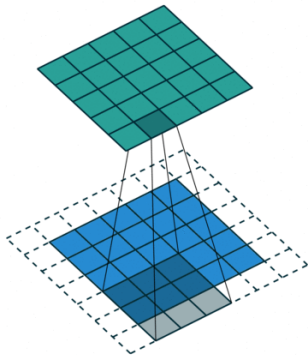
- Padding: artificially fill borders of image
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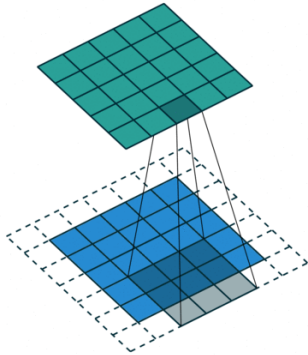
# Padding

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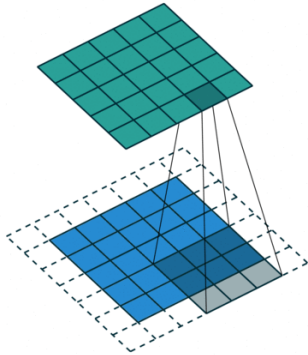
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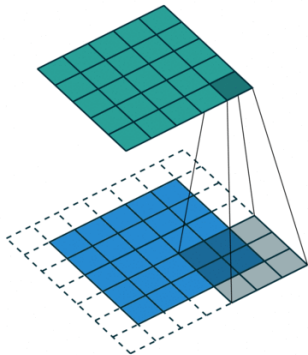
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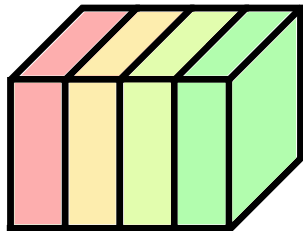
# Padding

- Padding: artificially fill borders of image
- Useful to keep spatial dimension constant across filters
- Useful with strides and large receptive fields
- Usually: fill with 0s



# Dealing with shapes

5x5x3x4



**Kernel or Filter** shape  $(F, F, C^i, C^o)$ :

- $F \times F$  kernel size
- $C^i$  input channels
- $C^o$  output channels

Number of parameters:

$$(F \times F \times C^i + 1) \times C^o$$

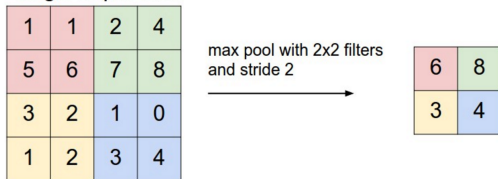
**Activations or Feature maps** shape:

- Input  $(W^i, H^i, C^i)$
- Output  $(W^o, H^o, C^o)$

$$W^o = (W^i - F + 2P)/S + 1$$

# Pooling

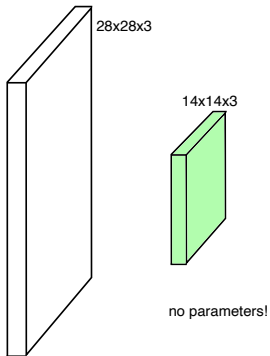
- Spatial dimension reduction
- Local invariance
- No parameters: max or average of 2x2 units



<http://cs231n.github.io/convolutional-networks>

# Pooling

- Spatial dimension reduction
- Local invariance
- No parameters: max or average of 2x2 units



# Architectures



# Classic ConvNet Architecture

## Input

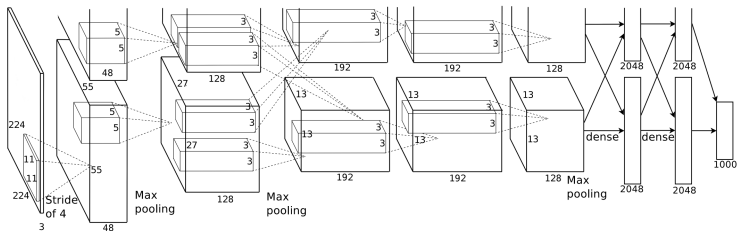
### Conv blocks

- Convolution + activation (relu)
- Convolution + activation (relu)
- ...
- Maxpooling 2x2

### Output

- Fully connected layers
- Softmax

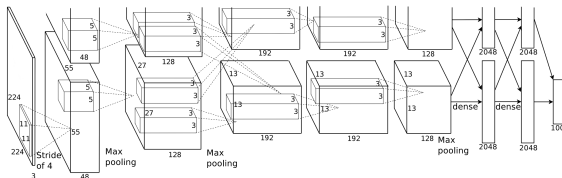
# AlexNet



Simplified version of Krizhevsky, Alex, Sutskever, and Hinton. "Imagenet classification with deep convolutional neural networks." NIPS 2012

- Input: 227x227x3 image
- First conv layer: kernel 11x11x3x96 stride 4
- Kernel shape: (11,11,3,96)
- Output shape: (55,55,96)
- Number of parameters: 34,944
- Equivalent MLP parameters:  $43.7 \times 1e9$

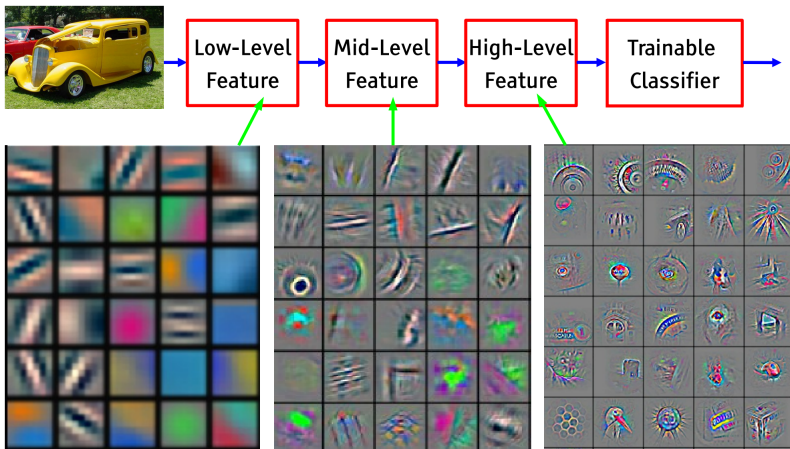
# AlexNet



```

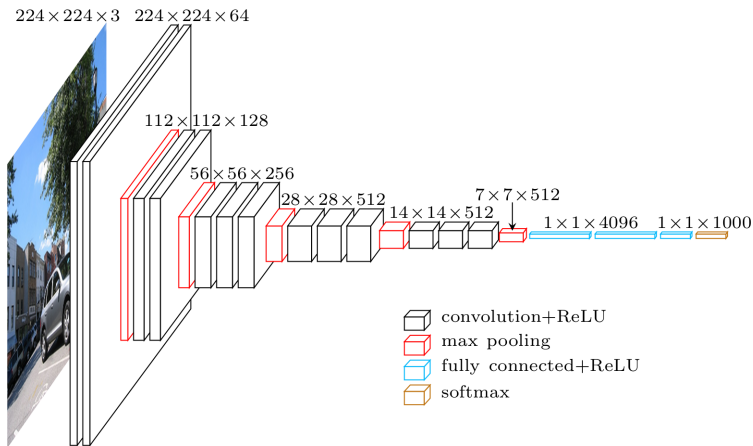
INPUT:      [227x227x3]
CONV1:      [55x55x96]   96 11x11 filters at stride 4, pad 0
MAX POOL1:  [27x27x96]    3x3 filters at stride 2
CONV2:      [27x27x256]  256 5x5 filters at stride 1, pad 2
MAX POOL2:  [13x13x256]   3x3 filters at stride 2
CONV3:      [13x13x384]  384 3x3 filters at stride 1, pad 1
CONV4:      [13x13x384]  384 3x3 filters at stride 1, pad 1
CONV5:      [13x13x256]  256 3x3 filters at stride 1, pad 1
MAX POOL3:  [6x6x256]    3x3 filters at stride 2
FC6:        [4096]       4096 neurons
FC7:        [4096]       4096 neurons
FC8:        [1000]       1000 neurons (softmax logits)
    
```

# Hierarchical representation



Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

# VGG-16



Simonyan, Karen, and Zisserman. "Very deep convolutional networks for large-scale image recognition." (2014)

# VGG in Keras

```
model.add(Convolution2D(64, 3, 3, activation='relu',  
                        input_shape=(3,224,224)))  
model.add(Convolution2D(64, 3, 3, activation='relu'))  
model.add(MaxPooling2D((2,2), strides=(2,2)))  
  
model.add(Convolution2D(128, 3, 3, activation='relu'))  
model.add(Convolution2D(128, 3, 3, activation='relu'))  
model.add(MaxPooling2D((2,2), strides=(2,2)))  
  
model.add(Convolution2D(256, 3, 3, activation='relu'))  
model.add(Convolution2D(256, 3, 3, activation='relu'))  
model.add(Convolution2D(256, 3, 3, activation='relu'))  
model.add(MaxPooling2D((2,2), strides=(2,2)))  
  
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(MaxPooling2D((2,2), strides=(2,2)))
```

```
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(Convolution2D(512, 3, 3, activation='relu'))  
model.add(MaxPooling2D((2,2), strides=(2,2)))  
  
model.add(Flatten())  
model.add(Dense(4096, activation='relu'))  
model.add(Dropout(0.5))  
model.add(Dense(4096, activation='relu'))  
model.add(Dropout(0.5))  
model.add(Dense(1000, activation='softmax'))
```

# Memory and Parameters

|            | Activation maps      | Parameters                     |
|------------|----------------------|--------------------------------|
| INPUT:     | [224x224x3] = 150K   | 0                              |
| CONV3-64:  | [224x224x64] = 3.2M  | (3x3x3)x64 = 1,728 (*)         |
| CONV3-64:  | [224x224x64] = 3.2M  | (3x3x64)x64 = 36,864 (*)       |
| POOL2:     | [112x112x64] = 800K  | 0                              |
| CONV3-128: | [112x112x128] = 1.6M | (3x3x64)x128 = 73,728          |
| CONV3-128: | [112x112x128] = 1.6M | (3x3x128)x128 = 147,456        |
| POOL2:     | [56x56x128] = 400K   | 0                              |
| CONV3-256: | [56x56x256] = 800K   | (3x3x128)x256 = 294,912        |
| CONV3-256: | [56x56x256] = 800K   | (3x3x256)x256 = 589,824        |
| CONV3-256: | [56x56x256] = 800K   | (3x3x256)x256 = 589,824        |
| POOL2:     | [28x28x256] = 200K   | 0                              |
| CONV3-512: | [28x28x512] = 400K   | (3x3x256)x512 = 1,179,648      |
| CONV3-512: | [28x28x512] = 400K   | (3x3x512)x512 = 2,359,296      |
| CONV3-512: | [28x28x512] = 400K   | (3x3x512)x512 = 2,359,296      |
| POOL2:     | [14x14x512] = 100K   | 0                              |
| CONV3-512: | [14x14x512] = 100K   | (3x3x512)x512 = 2,359,296      |
| CONV3-512: | [14x14x512] = 100K   | (3x3x512)x512 = 2,359,296      |
| CONV3-512: | [14x14x512] = 100K   | (3x3x512)x512 = 2,359,296      |
| POOL2:     | [7x7x512] = 25K      | 0                              |
| FC:        | [1x1x4096] = 4096    | 7x7x512x4096 = 102,760,448 (*) |
| FC:        | [1x1x4096] = 4096    | 4096x4096 = 16,777,216         |
| FC:        | [1x1x1000] = 1000    | 4096x1000 = 4,096,000          |

TOTAL activations:

24M x 4 bytes

≈ 93MB / image

(x2 for backward)

TOTAL parameters:

138M x 4 bytes

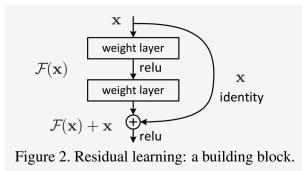
≈ 552MB

(x2 for plain SGD, x4 for Adam)

# ResNet

He, Kaiming, et al. "Deep residual learning for image recognition." CVPR. 2016.

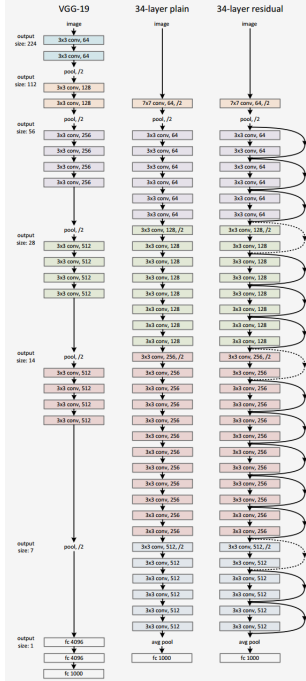
- Even deeper models: 34, 50, 101, 152 layers
- A block learns the residual w.r.t. identity



- Good optimization properties

## ResNet50 Compared to VGG:

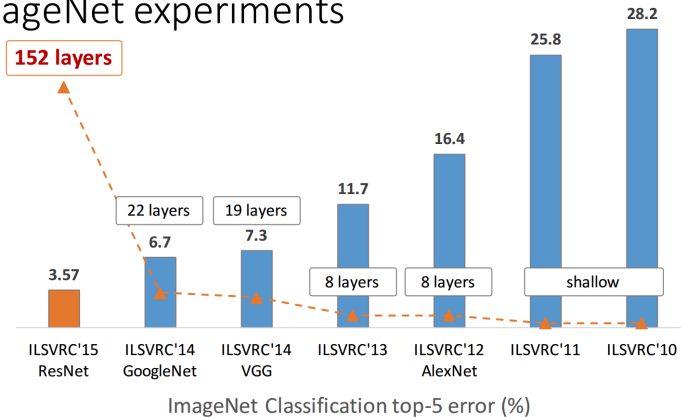
- Superior accuracy in all vision tasks **5.25%** top-5 error vs 7.1%
- Less parameters **25M** vs 138M
- Computational complexity **3.8B Flops** vs 15.3B Flops
- Fully Convolutional until the last layer





# Deeper is better

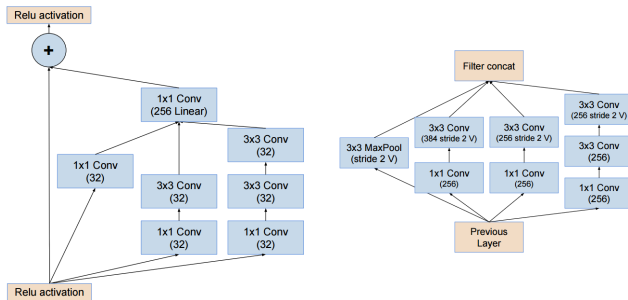
## ImageNet experiments



from Kaiming He slides “Deep residual learning for image recognition.” ICML. 2016.

# State of the art

- Finding right architectures: Active area or research



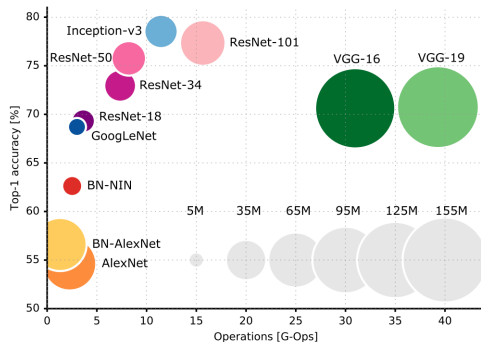
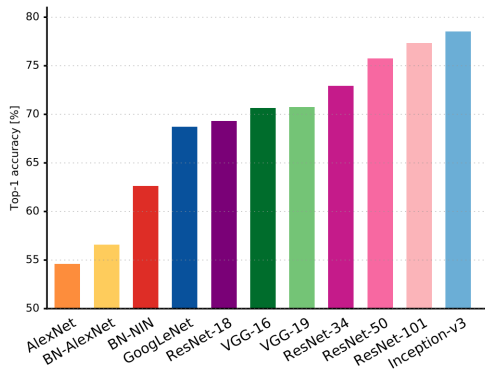
Modular building blocks engineering

from He slides “Deep residual learning for image recognition.” ICML. 2016.

see also DenseNets, Wide ResNets, Fractal ResNets, ResNeXts, Pyramidal ResNets

# State of the art

## Top 1-accuracy, performance and size on ImageNet



See also: <https://paperswithcode.com/sota/image-classification-on-imagenet>

Canziani, Paszke, and Culurciello. "An Analysis of Deep Neural Network Models for Practical Applications." (May 2016).

# More ImageNet SOTA

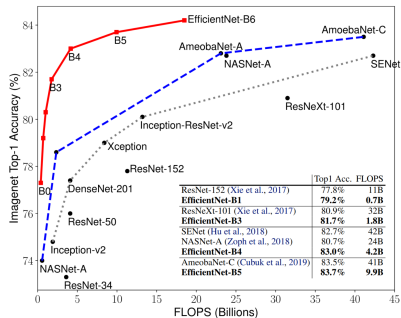
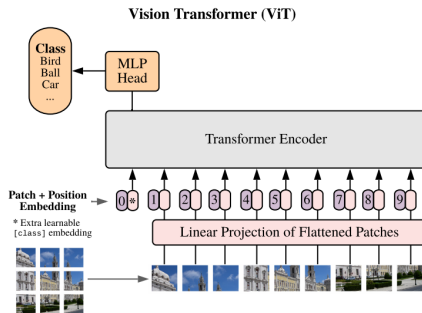


Figure 5. FLOPS vs. ImageNet Accuracy – Similar to Figure 1 except it compares FLOPS rather than model size.



- Mingxing Tan, Quoc V. Le, EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks, ICML 2019.
- Irwan Bello, LambdaNetworks: Modeling long-range Interactions without Attention, ICLR 2021.
- Dosovitskiy A. et al, An Image is worth 16X16 Words: Transformers for Image Recognition at Scale, ICLR 2021.

# State of the art

| Method                                    | # Params | Extra Data             | ImageNet    |             | ImageNet-Real [6] |
|---|----------|------------------------|-------------|-------------|-------------------|
|   |          |                        | Top-1       | Top-5       | Precision@1       |
| ResNet-50 [24]                            | 26M      | —                      | 76.0        | 93.0        | 82.94             |
| ResNet-152 [24]                           | 60M      | —                      | 77.8        | 93.8        | 84.79             |
| DenseNet-264 [28]                         | 34M      | —                      | 77.9        | 93.9        | —                 |
| Inception-v3 [62]                         | 24M      | —                      | 78.8        | 94.4        | 83.58             |
| Xception [11]                             | 23M      | —                      | 79.0        | 94.5        | —                 |
| Inception-v4 [61]                         | 48M      | —                      | 80.0        | 95.0        | —                 |
| Inception-resnet-v2 [61]                  | 56M      | —                      | 80.1        | 95.1        | —                 |
| ResNeXt-101 [78]                          | 84M      | —                      | 80.9        | 95.6        | 85.18             |
| PolyNet [87]                              | 92M      | —                      | 81.3        | 95.8        | —                 |
| SENet [27]                                | 146M     | —                      | 82.7        | 96.2        | —                 |
| NASNet-A [90]                             | 89M      | —                      | 82.7        | 96.2        | 82.56             |
| AmoebaNet-A [52]                          | 87M      | —                      | 82.8        | 96.1        | —                 |
| PNASNet [39]                              | 86M      | —                      | 82.9        | 96.2        | —                 |
| AmoebaNet-C + AutoAugment [12]            | 155M     | —                      | 83.5        | 96.5        | —                 |
| GPipe [29]                                | 557M     | —                      | 84.3        | 97.0        | —                 |
| EfficientNet-B7 [63]                      | 66M      | —                      | 85.0        | 97.2        | —                 |
| EfficientNet-B7 + FixRes [70]             | 66M      | —                      | 85.3        | 97.4        | —                 |
| EfficientNet-L2 [63]                      | 480M     | —                      | 85.5        | 97.5        | —                 |
| ResNet-50 Billion-scale SSL [79]          | 26M      | 3.5B labeled Instagram | 81.2        | 96.0        | —                 |
| ResNeXt-101 Billion-scale SSL [79]        | 193M     | 3.5B labeled Instagram | 84.8        | —           | —                 |
| ResNeXt-101 WSL [42]                      | 829M     | 3.5B labeled Instagram | 85.4        | 97.6        | 88.19             |
| FixRes ResNeXt-101 WSL [69]               | 829M     | 3.5B labeled Instagram | 86.4        | 98.0        | 89.73             |
| Big Transfer (BiT-L) [33]                 | 928M     | 300M labeled JFT       | 87.5        | 98.5        | 90.54             |
| Noisy Student (EfficientNet-L2) [77]      | 480M     | 300M unlabeled JFT     | 88.4        | 98.7        | 90.55             |
| Noisy Student + FixRes [70]               | 480M     | 300M unlabeled JFT     | 88.5        | 98.7        | —                 |
| Vision Transformer (ViT-H) [14]           | 632M     | 300M labeled JFT       | 88.55       | —           | 90.72             |
| EfficientNet-L2-NoisyStudent + SAM [16]   | 480M     | 300M unlabeled JFT     | 88.6        | 98.6        | —                 |
| Meta Pseudo Labels (EfficientNet-B6-Wide) | 390M     | 300M unlabeled JFT     | 90.0        | 98.7        | <b>91.12</b>      |
| Meta Pseudo Labels (EfficientNet-L2)      | 480M     | 300M unlabeled JFT     | <b>90.2</b> | <b>98.8</b> | 91.02             |

# Pre-trained models

# Pre-trained models

Training a model on ImageNet from scratch takes **days or weeks**.

Many models trained on ImageNet and their weights are publicly available!

## Transfer learning

- Use pre-trained weights, remove last layers to compute representations of images
- Train a classification model from these features on a new classification task
- The network is used as a generic feature extractor
- Better than handcrafted feature extraction on natural images

# Pre-trained models

Training a model on ImageNet from scratch takes **days or weeks**.

Many models trained on ImageNet and their weights are publicly available!

## Fine-tuning

Retraining the (some) parameters of the network (given enough data)

- Truncate the last layer(s) of the pre-trained network
- Freeze the remaining layers weights
- Add a (linear) classifier on top and train it for a few epochs
- Then fine-tune the whole network or the few deepest layers
- Use a smaller learning rate when fine tuning



# Data Augmentation



See also: RandAugment and Unsupervised Data Augmentation for Consistency Training.

# Data Augmentation (with Keras)

```
from keras.preprocessing.image import ImageDataGenerator
```

```
image_gen = ImageDataGenerator(  
    rescale=1. / 255,  
    rotation_range=40,  
    width_shift_range=0.2,  
    height_shift_range=0.2,  
    shear_range=0.2,  
    zoom_range=0.2,  
    horizontal_flip=True,  
    channel_shift_range=9,  
    fill_mode='nearest'  
)
```

```
train_flow = image_gen.flow_from_directory(train_folder)  
model.fit_generator(train_flow, train_flow.n)
```

# Beyond Image Classification

# Beyond Image Classification

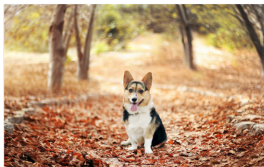
## Limitations of CNNs

- Mostly on centered images
- Only a single object per image
- Not enough for many real world vision tasks

# Beyond Image Classification

Classification

single  
object

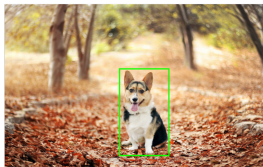
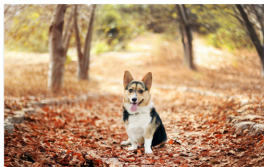


# Beyond Image Classification

Classification

Classif + Localisation

single  
object

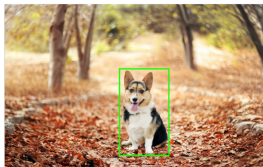
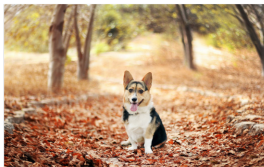


# Beyond Image Classification

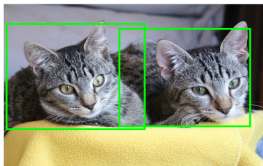
Classification

Classif + Localisation

single  
object



multiple  
objects



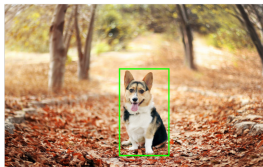
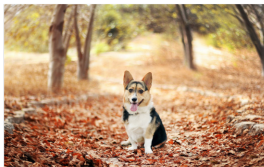
Object Detection

# Beyond Image Classification

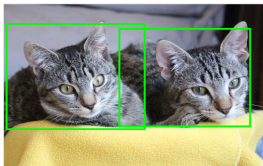
Classification

Classif + Localisation

single  
object



multiple  
objects



Object Detection

Semantic Segmentation

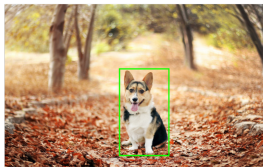
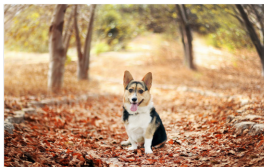


# Beyond Image Classification

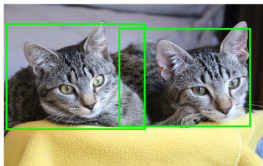
Classification

Classif + Localisation

single  
object



multiple  
objects



Object Detection

Instance Segmentation

# Beyond Image Classification

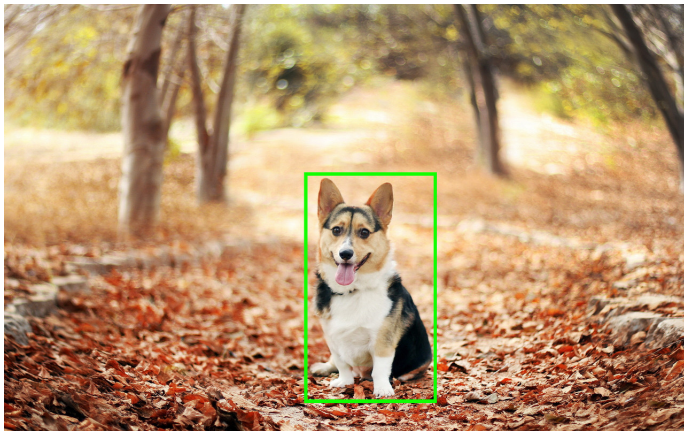
**Simple Localization as regression**

**Detection Algorithms**

**Fully convolutional Networks**

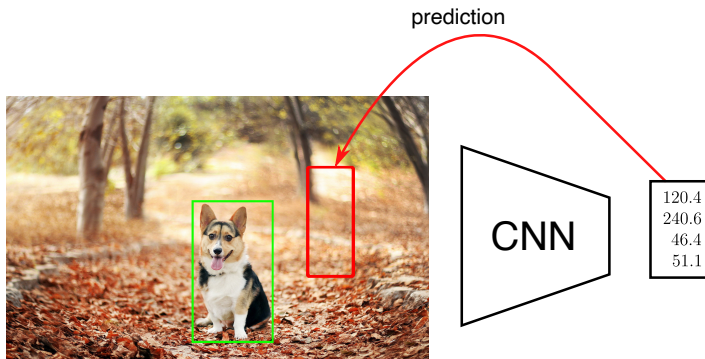
**Semantic & Instance Segmentation**

# Localization

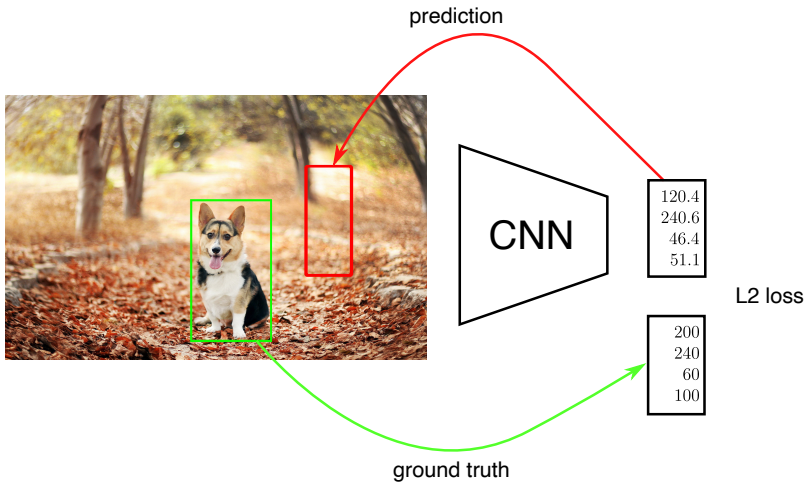


- Single object per image
- Predict coordinates of a bounding box ( $x$ ,  $y$ ,  $w$ ,  $h$ )
- Evaluate via Intersection over Union (IoU)

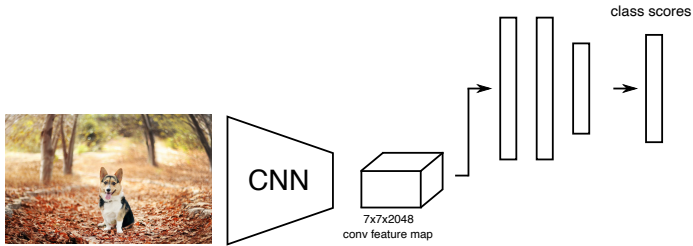
# Localization as regression



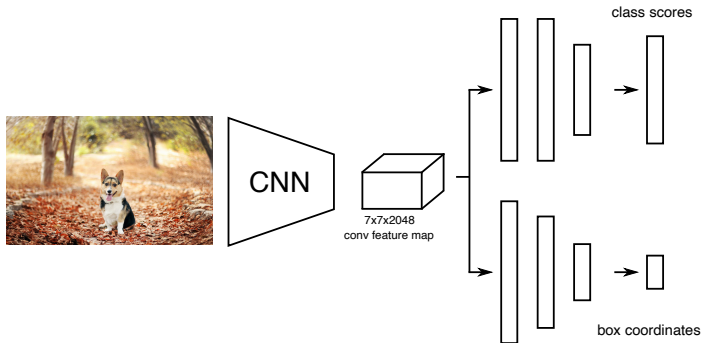
# Localization as regression



# Classification + Localization

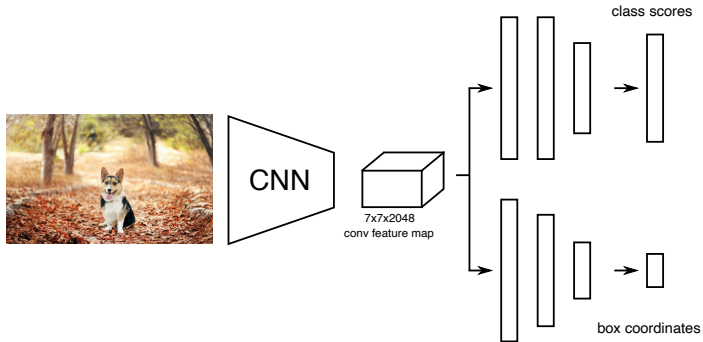


# Classification + Localization



- Use a pre-trained CNN on ImageNet (e.g. ResNet)
- The “localization head” is trained separately with regression
- Possible end-to-end finetuning of both tasks
- At test time, use both heads

# Classification + Localization



$C$  classes, 4 output dimensions (1 box).

**Predict exactly  $N$  objects:** predict  $(N \times 4)$  coordinates and  $(N \times K)$  class scores.



# Object detection

We don't know in advance the number of objects in the image. Object detection relies on *object proposal* and *object classification*.

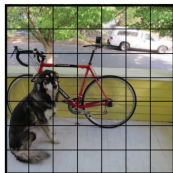
**Object proposal:** find regions of interest (Rols) in the image.

**Object classification:** classify the object in these regions.

**Two main families:**

- Single-Stage: A grid in the image where each cell is a proposal (SSD, YOLO, RetinaNet).
- Two-Stage: Region proposal then classification (Faster-RCNN).

# YOLO

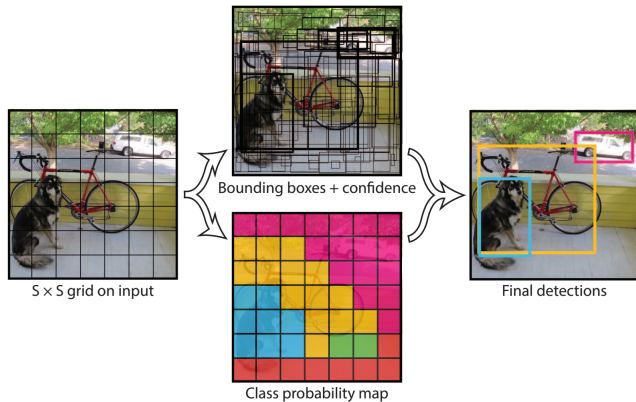


$5 \times 5$  grid on input

For each cell of the  $S \times S$  predict:  $B$  **boxes** and **confidence scores**  $C$  ( $5 \times B$  values) + **classes**  $c$

Redmon, Joseph, et al. "You only look once: Unified, real-time object detection." CVPR (2016)

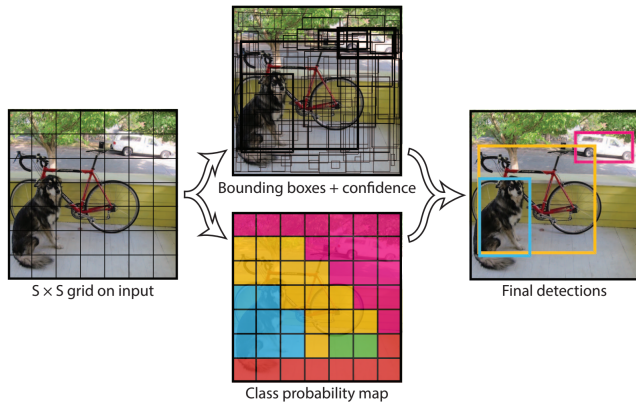
# YOLO



For each cell of the  $S \times S$  predict:  $B$  **boxes** and **confidence scores**  $C$  ( $5 \times B$  values) + **classes**  $c$

Redmon, Joseph, et al. "You only look once: Unified, real-time object detection." CVPR (2016)

# YOLO



Final detections:  $C_j * prob(c) > \text{threshold}$

Redmon, Joseph, et al. "You only look once: Unified, real-time object detection." CVPR (2016)

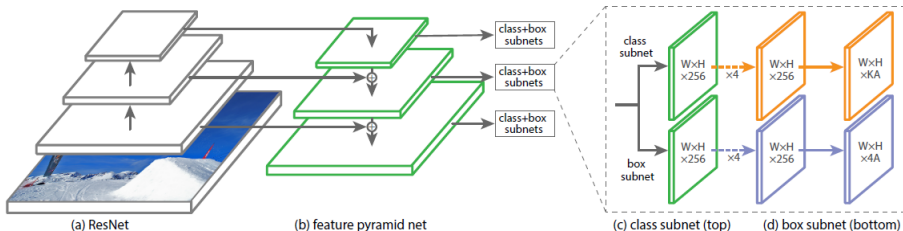
# YOLO

Redmon, Joseph, et al. "You only look once: Unified, real-time object detection." CVPR (2016)

- After ImageNet pretraining, the whole network is trained end-to-end
- The loss is a weighted sum of different regressions

$$\begin{aligned} & \lambda_{\text{coord}} \sum_{i=0}^{S^2} \sum_{j=0}^B \mathbb{1}_{ij}^{\text{obj}} \left[ (x_i - \hat{x}_i)^2 + (y_i - \hat{y}_i)^2 \right] \\ & + \lambda_{\text{coord}} \sum_{i=0}^{S^2} \sum_{j=0}^B \mathbb{1}_{ij}^{\text{obj}} \left[ \left( \sqrt{w_i} - \sqrt{\hat{w}_i} \right)^2 + \left( \sqrt{h_i} - \sqrt{\hat{h}_i} \right)^2 \right] \\ & + \sum_{i=0}^{S^2} \sum_{j=0}^B \mathbb{1}_{ij}^{\text{obj}} (C_i - \hat{C}_i)^2 \\ & + \lambda_{\text{noobj}} \sum_{i=0}^{S^2} \sum_{j=0}^B \mathbb{1}_{ij}^{\text{noobj}} (C_i - \hat{C}_i)^2 \\ & + \sum_{i=0}^{S^2} \mathbb{1}_i^{\text{obj}} \sum_{c \in \text{classes}} (p_i(c) - \hat{p}_i(c))^2 \quad (3) \end{aligned}$$

# RetinaNet



Lin, Tsung-Yi, et al. "Focal loss for dense object detection." ICCV 2017.

Single stage detector with:

- Multiple scales through a *Feature Pyramid Network*
- Focal loss to manage imbalance between background and real objects

See: <https://towardsdatascience.com/review-retinanet-focal-loss-object-detection-38fba6afabe4>

# Box Proposals

Instead of having a predefined set of box proposals, find them on the image:

- **Selective Search** - from pixels (not learnt, no longer used).
- **Faster - RCNN** - Region Proposal Network (RPN).

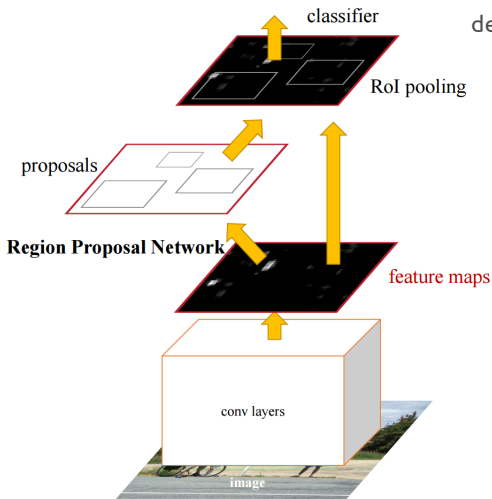
Girshick, Ross, et al. "Fast r-cnn." ICCV 2015

**Crop-and-resize operator (RoI-Pooling):**

- Input: convolutional map +  $N$  regions of interest
- Output: tensor of  $N \times 7 \times 7 \times \text{depth}$  boxes
- Allows to propagate gradient only on interesting regions, and efficient computation

# Faster-RCNN

Ren, Shaoqing, et al. "Faster r-cnn: Towards real-time object detection with region proposal networks." NIPS 2015



- Train jointly **RPN** and other head
- 200 box proposals, gradient propagated only in positive boxes
- Region proposal is translation invariant, compared to YOLO



# Measuring performance

| method                    | test size<br>shorter edge/max size | feature<br>pyramid | align | mAP@[0.5:0.95] | AP <sub>s</sub> | AP <sub>m</sub> | AP <sub>l</sub> |
|---------------------------|------------------------------------|--------------------|-------|----------------|-----------------|-----------------|-----------------|
| R-FCN [17]                | 600/1000                           |                    |       | 32.1           | 12.8            | 34.9            | 46.1            |
| Faster R-CNN (2fc)        | 600/1000                           |                    |       | 30.3           | 9.9             | 32.2            | 47.4            |
| Deformable [3]            | 600/1000                           |                    | ✓     | 34.5           | 14.0            | 37.7            | 50.3            |
| G-RMI [13]                | 600/1000                           |                    |       | 35.6           | -               | -               | -               |
| FPN [19]                  | 800/1200                           | ✓                  |       | 36.2           | 18.2            | 39.0            | 48.2            |
| Mask R-CNN [7]            | 800/1200                           | ✓                  | ✓     | 38.2           | 20.1            | 41.1            | 50.2            |
| RetinaNet [20]            | 800/1200                           | ✓                  |       | 37.8           | 20.2            | 41.1            | 49.2            |
| RetinaNet ms-train [20]   | 800/1200                           | ✓                  |       | 39.1           | 21.8            | 42.7            | 50.2            |
| Light head R-CNN          | 800/1200                           |                    | ✓     | <b>39.5</b>    | 21.8            | 43.0            | 50.7            |
| Light head R-CNN ms-train | 800/1200                           |                    | ✓     | <b>40.8</b>    | 22.7            | 44.3            | 52.8            |
| Light head R-CNN          | 800/1200                           | ✓                  | ✓     | <b>41.5</b>    | 25.2            | 45.3            | 53.1            |

Measures: mean Average Precision **mAP** at given **IoU** thresholds

Zeming Li et al. Light-Head R-CNN: In Defense of Two-Stage Object Detector 2017

- AP @0.5 for class “cat”: average precision for the class, where  $IoU(box^{pred}, box^{true}) > 0.5$

# State-of-the-art

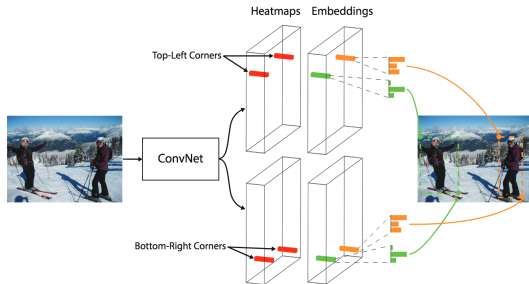
| Model                                     | FLOPs | # Params | AP <sub>val</sub>  | AP <sub>test-dev</sub> |
|---|-------|----------|--------------------|------------------------|
| SpineNet-190 (1536) [11]                  | 2076B | 176.2M   | 52.2               | 52.5                   |
| DetectoRS ResNeXt-101-64x4d [43]          | —     | —        | —                  | 55.7 <sup>†</sup>      |
| SpineNet-190 (1280) [11]                  | 1885B | 164M     | 52.6               | 52.8                   |
| SpineNet-190 (1280) w/ self-training [71] | 1885B | 164M     | 54.2               | 54.3                   |
| EfficientDet-D7x (1536) [56]              | 410B  | 77M      | 54.4               | 55.1                   |
| YOLOv4-P7 (1536) [60]                     | —     | —        | —                  | 55.8 <sup>†</sup>      |
| Cascade Eff-B7 NAS-FPN (1280)             | 1440B | 185M     | 54.5               | 54.8                   |
| w/ Copy-Paste                             | 1440B | 185M     | (+1.4) <b>55.9</b> | (+1.2) <b>56.0</b>     |
| w/ self-training Copy-Paste               | 1440B | 185M     | (+2.5) <b>57.0</b> | (+2.5) <b>57.3</b>     |

Ghiasi G. et al. Simple Copy-Paste is a Strong Data Augmentation Method for Instance Segmentation, 2020

- Larger image sizes, larger and better models, better augmented data
- <https://paperswithcode.com/sota/object-detection-on-coco>

# Other works

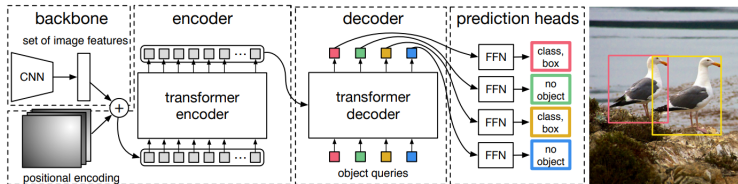
- New approaches try to avoid using anchors
- CornerNet only predicts the two extreme edges of a box:



Law, Hei, and Deng, Jia. "CornerNet: Detecting Objects as Paired Keypoints" ECCV 2018

# Other works

- New approaches try to avoid using anchors
- DeTr uses a Transformer to map a set of features to a set of boxes (with different cardinality)

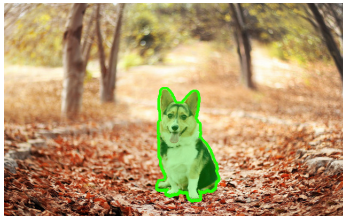


Carion, N., Massa, F., Synnaeve, G., Usunier, N., Kirillov, A., & Zagoruyko, S. "End-to-End Object Detection with Transformers" ECCV 2020

The loss is a pair-wise matching between ground truth and prediction set.

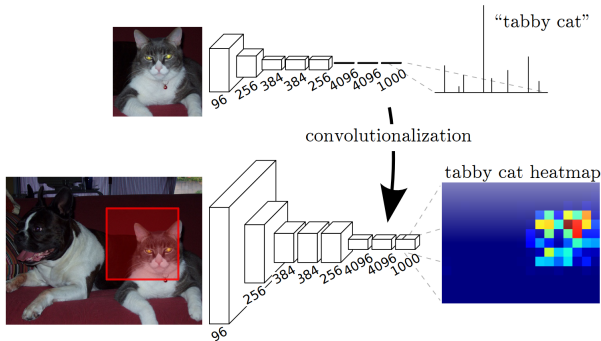
# Segmentation

Output a class map for each pixel (here: dog vs background)



- **Instance segmentation:** specify each object instance as well (two dogs have different instances)
- This can be done through **object detection + segmentation**

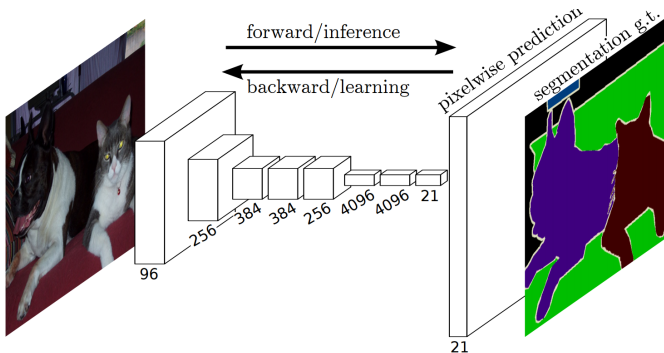
# Convolutionize



Long, Jonathan, et al. "Fully convolutional networks for semantic segmentation." CVPR 2015

- Slide the network with an input of (224, 224) over a larger image. Output of varying spatial size
- **Convolutionize**: change Dense (4096, 1000) to  $1 \times 1$  Convolution, with 4096, 1000 input and output channels
- Gives a coarse **segmentation** (no extra supervision)

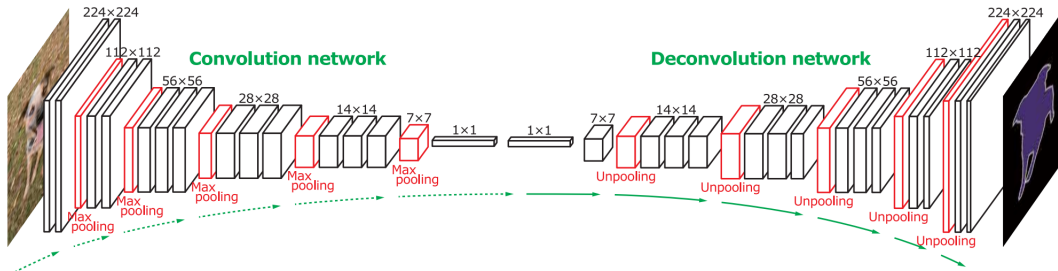
# Fully Convolutional Network



Long, Jonathan, et al. "Fully convolutional networks for semantic segmentation." CVPR 2015

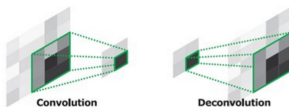
- Predict / backpropagate for every output pixel
- Aggregate maps from several convolutions at different scales for more robust results

# Deconvolution



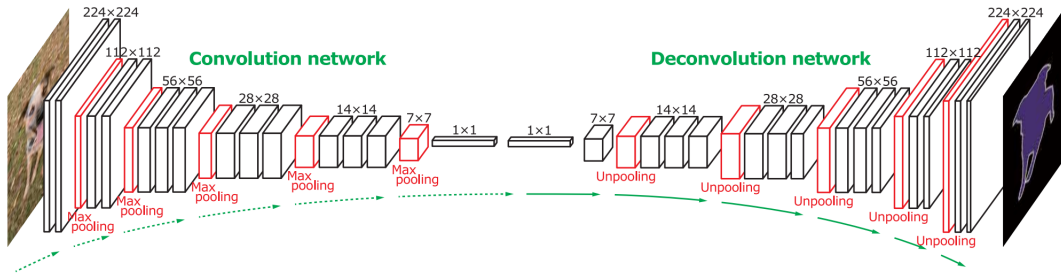
Noh, Hyeonwoo, et al. "Learning deconvolution network for semantic segmentation." ICCV 2015

- "Deconvolution": transposed convolutions





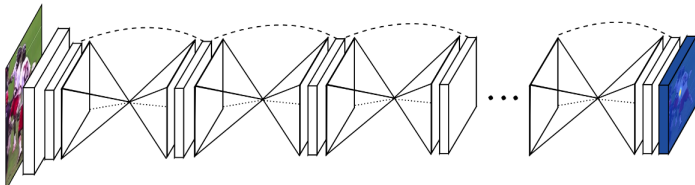
# Deconvolution



Noh, Hyeonwoo, et al. "Learning deconvolution network for semantic segmentation." ICCV 2015

- **skip connections** between corresponding convolution and deconvolution layers
- **sharper masks** by using precise spatial information (early layers)
- **better object detection** by using semantic information (late layers)

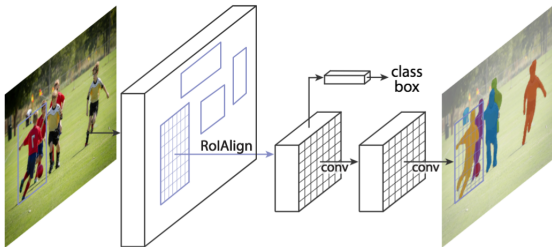
# Hourglass network



Newell, Alejandro, et al. "Stacked Hourglass Networks for Human Pose Estimation." ECCV 2016

- U-Net like architectures repeated sequentially.
- Each block refines the segmentation for the following.
- Each block has a segmentation loss.

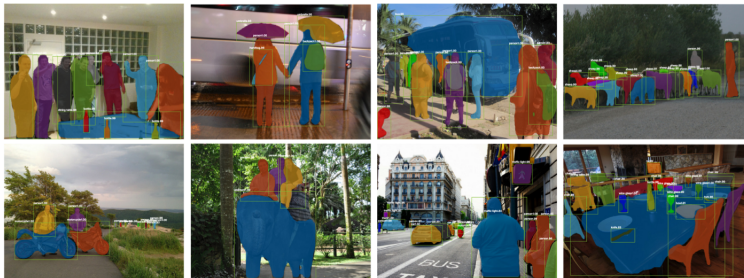
# Mask-RCNN



K. He and al. Mask Region-based Convolutional Network (Mask R-CNN) NIPS 2017

Faster-RCNN architecture with a third, binary mask head

# Results



K. He and al. Mask Region-based Convolutional Network (Mask R-CNN) NIPS 2017

- Mask results are still coarse (low mask resolution)
- Excellent instance generalization

# Results



He, Kaiming, et al. "Mask r-cnn." Internal Conference on Computer Vision (ICCV), 2017.

# State-of-the-art & links

Most benchmarks and recent architectures are reported here:

<https://paperswithcode.com/area/computer-vision>

## Tensorflow

object detection API

## Pytorch

Detectron <https://github.com/facebookresearch/Detectron>

- Mask-RCNN, Retina Net and other architectures
- Focal loss, Feature Pyramid Networks, etc.